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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Compleat if Known

Sheet

1

of

Application Number

Filing Date

January 6, 2004

First Named Inventor

Thomas C. Frampton

Art Unit

Examiner Name

Attorney Docket Number 2171-44693

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2,079,044	05-04-1937	Santmyer	
		US- 2,129,939	09-13-1938	Juul	
		US- 2,837,301	06-03-1958	Jenney	
		US- 3,693,910	09-26-1972	Aldi	
		US- 4,132,360	01-02-1979	Lee, Jr.	
		US- 5,439,352	08-08-1995	Line	
		US- 5,462,462	10-31-1995	Woodley	
		US- 306,643	03-13-1990	Taylor, III	
		US- 309,183	07-10-1990	Taylor, III	
		US- 313,467	01-01-1991	Frampton	
		US- 325,436	04-14-1992	Taylor, III	
		US- 325,778	04-28-1992	Taylor, III	
		US- 326,149	05-12-1992	Taylor, III	
		US- 329,285	09-08-1992	Taylor, III	
		US- 329,286	09-08-1992	Taylor, III	
		US- 329,896	09-29-1992	Taylor, III	
		US- 336,513	06-15-1993	Junkin, et al	
		US- 341,419	11-16-1993	Taylor, III	
		US- 424,190	05-02-2000	Tang	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		AU 133424 S	04/03/1998	Shepherd		

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Sheet **2**

of

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Application Number	
Filing Date	January 6, 2004
First Named Inventor	Thomas C. Frampton
Art Unit	
Examiner Name	
Attorney Docket Number	2171-44693

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 432,640	10-24-2000	Frampton	
		US- 433,118	10-31-2000	Frampton	
		US- 433,119	10-31-2000	Frampton	
		US- 433,498	11-07-2000	Frampton	
		US- 433,747	11-14-2000	Frampton	
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		US- 433,749	11-14-2000	Frampton	
		US- 434,134	11-21-2000	Frampton	
		US- 437,047	01-30-2001	Frampton	
		US- 437,406	02-06-2001	Frampton	
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		US- 438,950	03-13-2001	Frampton	
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		US- 451,997	12-11-2001	Schwartz	
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		US- 454,634	03-19-2002	Frampton	
		US- 454,635	03-19-2002	Frampton	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Applicant Number	
		Filing Date	January 6, 2004
		First Named Inventor	Thomas C. Frampton
		Art Unit	
		Examiner Name	
Sheet 3 of	Attorney Docket Number	2171-44693	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Fanimation, "Unique Ceiling, Wall & Floor Mounted Fans," catalog, January 1990, USA	
		Clem Labine's TRADITIONAL BUILDING, "A Fan-tastic Ceiling Accessory," magazine article, Nov/Dec 1997, USA	
		ARCHITECTURAL ANTIQUES - Andy Thornton, "Fan Systems," catalog, No. 12, First Edition, March 1994, USA	
		GUMPS MAIL INTERIORS, Plantation Ceiling Fan, catalog, July 1998, USA	
		THE SHARPER IMAGE HOME COLLECTION, Plantation Ceiling Fan, catalog, Summer 1998, USA	
		AMERICAN HOMESTYLE & GARDENING, "The Islander Fan," magazine article, Aug/Sep 1995, USA	
		METROPOLITAN HOME, "Three-Leaf Palm Fan by Fanimation," magazine article, Jul/Aug 1998, USA	
		A-PLUS: Art/Antiques/Design - Cape Cod Edition, "Keep Your Cool," news article, June 1998, USA	
		FRIEDES ASSOCIATES, "1997 Hudson River Showhouse, New York," promotional piece, specific date unknown, USA	
		Photograph - author unknown, December 3, 1995, Raffles Hotel, Singapore	

Examiner Signature		Date Considered	
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		First Named Inventor	Thomas C. Frampton
		Art Unit	
		Examiner Name	
Sheet	4	of	
		Attorney Docket Number	2171-44693

NON PATENT LITERATURE DOCUMENTS			
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		Photograph - author unknown, circa 1905, White Rabbit Saloon, Tennessee	
		FANIMATION, "The Islander," promotional piece, date unknown, USA	
		FANIMATION, "The Palisade," promotional piece, date unknown, USA	
		WINNOWER FAN CO. INC., advertising brochure, p. 20, CEILING FAN A	
		Fanimation, "Unique Ceiling, Wall & Floor Mounted Fans," catalog, January 2000, USA	
		Emerson, "Ceiling Fans Research & Development" catalog, January 2001, USA	
		"Hampton Bay," promotional piece, date unknown, USA	
		THE BEACON, "Neon is a gas: local firm produces signs, architectural lighting," Newspaper Article, August 1998, USA	
		NICOR advertisement, September 2000 issue, USA	
		NICOR website; www.nicorlighting.com, July 5, 2002, various ceiling fan photographs	

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Sheet 5	of	Attorney Docket Number	2171-44693

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		REGENCY CEILING FANS, "Tropic Air," promotional piece brochure, Copyright 2001, USA	
		FURNITURE STYLE, "Tahitian Ceiling Fan," magazine article, CONCORD product advertisement, December 2001	
		HOME LIGHTING & ACCESSORIES, "Vintage Crown Collection, magazine article, CONCORD product advertisement, December 2001	
		Fanimation, "Unique Ceiling, Wall & Floor Fans," catalog, January 2002, USA	

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